

Express Mail No.: EL 451 594 750 US**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Application of: Lukas *et al.*

Serial No.: 09/518,916

Group Art Unit: 2772

Filed: March 3, 2000

Examiner: To be assigned

For: SYSTEM AND METHOD FOR  
OPTIMIZING A PRODUCT  
CONFIGURATIONAttorney Docket No.:  
8760-021-999**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**FILING DATE GRANTED****BOX: Missing Parts**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on May 15, 2000, Applicants submit the following documents to complete the filing for the above-identified application:

1. A Declaration and Power of Attorney signed by the inventors in compliance with 37 CFR 1.63;
2. Return copy of the Notice to file Missing parts of Application - Filing Date Granted mailed May 15, 2000; and
3. Petition for Extension of Time (1 month) until August 15, 2000.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150:

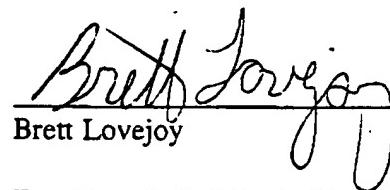
|  |                  |
|--|------------------|
| 1. The statutory basic filing fee (Small Entity)   | \$ 690.00        |
| 2. Additional Claim fee (16 additional dependent claims)   | 288.00           |
| 2. Surcharge Fee for filing declaration on a date later than the filing date of the application. | <u>\$ 130.00</u> |
| <br>   |                  |
| TOTAL  | \$ 1108.00       |

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150.

The documents submitted complete the filing of the above patent application and justify the filing date of March 3, 2000. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Dated: August 10, 2000

 42,813  
Brett Lovejoy (Reg. No.)

For: Francis E. Morris (Reg. No. 24,615)  
**PENNIE & EDMONDS LLP**  
3300 Hillview Avenue  
Palo Alto, California 94304  
(650) 493-4935

Enclosures

| Cooley Godward LLP

FAX

**THIS FACSIMILE AND THE INFORMATION IT CONTAINS ARE INTENDED TO BE A CONFIDENTIAL COMMUNICATION ONLY TO THE PERSON OR ENTITY TO WHOM IT IS ADDRESSED. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US BY TELEPHONE AND RETURN THIS ORIGINAL FAX TO THIS OFFICE BY MAIL.**

ATTORNEYS AT LAW

380 Interlocken Crescent  
Suite 900  
Broomfield, CO  
80021-8023

MAIN (720) 566-4000  
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**Offices:**  
Broomfield, CO  
Denver, CO  
Kirkland, WA  
Menlo Park, CA  
Palo Alto, CA  
Reston, VA  
San Diego, CA  
San Francisco, CA

**DATE:** October 9, 2001

|                           |                  |                |
|---------------------------|------------------|----------------|
| <b>PLEASE DELIVER TO:</b> | <b>PHONE NO.</b> | <b>FAX NO.</b> |
| <b>Linda Hallman</b>      | 703/308-7631     | 703/305-9863   |

**FROM:** Wayne O. Stacy      **PHONE:** (720) 566-4125      **REPLY FAX:** (720) 566-4099

**RE:** Serial No. 09/518,916

**Our Docket No. FINL-009/00US**

|   |                            |
|---|----------------------------|
| NUMBER OF PAGES, INCLUDING COVER: <u>26</u> | CLIENT NUMBER: 300217-2018 |
| ORIGINALS TO FOLLOW : No                    | REQUESTOR #: 11762         |

## **MESSAGE:**

**Ms. Hallman:**

Per our conversation of today, enclosed are duplicate copies of the following sent to the PTO on August 10, 2000: 1) return post card showing date of receipt by the PTO of August 10, 2000 of: Recordation Form Cover Sheet; and Assignment signed by Andrew V. Lukas, George Lukas, David L. Klencke; and 2) return post card showing date of receipt by the PTO of August 10, 2000 of: Preliminary Amendment; Response to Notice to File Missing Parts Filing Date Granted; Petition for Extension of Time Under 37 CFR § 1.136(a); Notice to File Missing Parts of Nonprovisional Application; and Declarations and Powers of Attorney signed by George Lukas, Clifford Nass, David L. Klencke and Andrew V. Lukas.

Should you have any questions, please call me at (720) 566-4125.

Sincerely,

Sincerely,  
  
Wayne O. Stacy, Reg. No. 45,125

If you do not receive all of the pages, please call SHERRY DUNCAN BITLER at 720/566-4019 as soon as possible.

**Please note: Beginning Oct. 15, all of Cooley's Colorado/Denver-area attorneys will be in our new offices at the Interlocken technology center, located in the greater Denver area, between Denver and Boulder. The address and main phone numbers are noted below.**

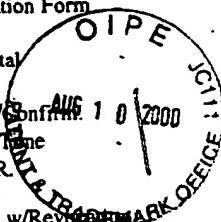
**Cooley Godward LLP  
380 Interlocken Crescent  
Suite 900  
Broomfield, CO 80021-8023**

**(720) 566-4000 (Main Phone)**  
**(720) 566-4099 (Main Fax)**  
[www.cooley.com](http://www.cooley.com)

Express Mail No. EL 451 594 750 US First Class Mail ( )  
Date Mailed 10 August 2000  
Ser. No. 09/518,916 Filed 3 March 2000  
Inventor Lukas et al.  
For SYSTEM AND METHOD FOR OPTIMIZING A PRODUCT CONFIGURATION

- Affidavit/Declaration  
 Amendment  
 Application \_\_\_\_\_ Pages  
 \_\_\_\_\_ Claims  Drawings  
 Appeal, Notice of \_\_\_\_\_  
 Assignment  
 Brief (in triplicate)  
 Declaration & Power of Attorney  
 Design Application  
 Disclaimer  
 Disclosure Statement  
 w/refs.  w/o refs.  
 Drawings Formal  
\_\_\_\_ Sheets \_\_\_\_ Figures

Fee Address Indication Form  
 Fee Calculation  
 Issue Fee Transmittal  
 Letter  
 Oral Hearing Req./Conf. AUG 1 1991  
 Petition to Extend Time  
 Pet. under 37 C.F.R. \_\_\_\_\_  
 Power of Attorney  
\_\_\_\_ Associate \_\_\_\_\_ w/Revocation  
 Sequence Listing w/Computer  
Readable and Paper Copies  
 Small Entity Statement  
 Status Letter  
 Transmittal Letter



Other: Recordation Form Cover Sheet (dupl); Return Post Card

File No. 8760-021-999 Sender: FEM/BXL/jwc

## FORMALITIES LETTER

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/518,916         | 03/03/2000          | Andrew V. Lukes       | 8760-021-999           |

20583  
 PENNIE AND EDMONDS  
 1155 AVENUE OF THE AMERICAS  
 NEW YORK, NY 100362711

Date Mailed: 05/15/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$288.
  - \$288 for 16 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1108.

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*A copy of this notice MUST be returned with the reply.*

*BB* *TG*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE